# AN APPEARANCE OF MENTAL LEXICON AND PHONOLOGICAL PROPERTIES IN CONCATENATIONINDUCED OBSTRUENT VOICING 

Atsushi Asai<br>(a9asai@hotmail.com)<br>Daido University, Japan


#### Abstract

The present study ${ }^{1}$ attempts to draw a pattern for concatenation-induced obstruent voicing in Japanese. In a judgment test, 220 participants answered whether or not voiceless obstruents at the onset of the final morpheme of nominal compounds would be voiced. As a result, the degrees of voicing in the provisionally concatenated compounds comprising real morphemes corresponded to those in the real words. Second, the degrees of voicing in those compounds whose final element is a pseudo-morpheme reached a mean level of voicing overall. Thus, those patterns of voicing or not voicing had been a product of learning and had been internalized as the speakers' meta-knowledge. Next, post-nasal voicing applied differently to the different consonants in the final morpheme onset position; for instance in the test, /h/ received a high degree of post-nasal voicing. On the other hand, the boundarypreceding vowel originating from the velar nasal did not enhance the degree of voicing.


## 1 Introduction to obstruent voicing phenomenon in Japanese

In Japanese, when two morphemes are concatenated into one compound, the first consonant of the final morpheme, if the consonant is a voiceless obstruent, can alter to its voiced counterpart. That morphophonological phenomenon, called rendaku in Japanese or sequential voicing (Kubozono, 1999; Itō \& Mester, 1995; Vance, 1987, 2015), involves many determining factors such as syntax, semantics, and lexical strata as summarily listed in Table 1. Note that the ratio of such voicing for a compound can be promoted to some degree if the compound is frequently used. Many factors bearing influence on that kind of voicing have been discussed, and some linguists insist that the voicing alternation is determined lexically, which means that it applies word by word. In fact, there are exceptions even if we set ramified rules for the voicing (Vance \& Asai, 2016).

Concatenation-induced obstruent voicing, or abbreviated henceforth CIOV, is productive in contemporary Tokyo Japanese, and applies to new words. Linguistic researchers and the educators of Japanese would like to know further how much Japanese speakers employ CIOV and in what kinds of phonological conditions.

Table 1. Possible factors to promote or demote CIOV on nominal compounds

[^0]| Factors | Item | Unvoiced | likely unvoiced | likely voiced |
| :---: | :---: | :---: | :---: | :---: |
| Lexicology | Lexical strata <br> Noun category <br> Hierarchical relation | Foreign, mimetic Proper noun (first name) Coordinate reference | Sino-Japanese <br> Proper noun (surname) | Native <br> Common noun <br> Subordinate <br> reference |
| Morphology | Morpheme length |  | 2 moras long | $\begin{array}{\|l\|} \hline 1 \text { mora or 3-or- } \\ \text { more moras long } \\ \hline \end{array}$ |
| Syntax | Compound structure Modification relation <br> Word formation Prefix <br> Postfix <br> Abbreviation | Right branch Dvandva <br> Courteous / honorific "o" <br> Acronym | Objective <br> Emphatic "ma", etc. <br> Applicable (counting) <br> Clipped word | Left branch Adnominal, genitive Reduplication |
| Semantics | Semantic domain Homonymic distinction Referential symbolism |  | Applicable Applicable Imaginary (naming) | Applicable <br> Applicable <br> Existent (naming) |
| Psycholinguistics | Association of image |  | Clean, fine, respectful | Dirty, heavy, disrespectful |
| Sociolinguistics | Individual variation <br> Visual reading |  | Applicable <br> Applicable (food name in leaflet) | Applicable |
| Pragmatics | Typicality oriented |  | Applicable | Applicable (analogy) |
| Phonology | Lyman's Law OCP(voi) <br> Dialectal [ y ] from /g/ <br> Extended Lyman's Law OCP(voi) <br> $/ \mathrm{m} /$ mutating from $/ \mathrm{b} /$ <br> Following the $/ \mathrm{r} /$-onset <br> OCL(Place) <br> Following the moraic obstruent <br> Following the uvular nasal <br> Following a vowel originating from $/ \mathrm{y} /$ <br> Phoneme dependency <br> Palatalization <br> Vowel devoicing <br> Accentuation | Applied Applied <br> Applied | Weak in Modern Japanese Applicable Applicable Within the final morpheme <br> /s/ ([s], [c]), [ç] <br> Weakly applicable <br> Accented | Strong in Old Japanese <br> Across the boundary <br> Under specific conditions <br> Applied in Middle Japanese [Ф] <br> Weakly applicable <br> Unaccented |

## 2 Survey design

When a morpheme used as an individual real word is concatenated as the final morpheme with another morpheme, the likelihood of CIOV was questioned in the present study. Specific phonological conditions were set for both the first morphemes and the final ones. Table 2 lists
the twelve kinds of first morpheme, all of which have different Kanji characters. The righthand column titled ' N ' indicates the CVN morphemes, ${ }^{2}$ such as /kan/ 'perfect.' The central column includes the CVV but originally CV[ y$]$ at the time when the words were borrowed from Chinese, such as /kou/ 'smell, scent.' The left-hand column titled 'u' lists the other vocables, such as /kou/ 'think.' English glosses are noted in parentheses. An example of compounds is /tou+tama/ 'bean+ball.' ${ }^{3}$

Table 2. First morphemes

| Final phoneme |  |  |
| :--- | :--- | :--- |
| /u/ | /u/([n]) | /N/ |
| /tou/ ('bean') | /tou/ ('unify, whole') | /tan/ ('single') |
| /tou/ ('answer') | /tou/ ('hit, just') | /tan/ ('red') |
| /kou/ ('think') | /kou/ ('lucky') | /kan/ ('perfect') |
| /kou/ ('instep') | /kou/ ('smell, scent') | /kan/ ('official') |

Next, the final morphemes are shown in Table 3. Sixteen of them are real words of the native lexical stratum. Six of them are pseudo-words, and have virtual Kanji characters.

Table 3. Final morphemes

| Second <br> mora onset | First mora onset <br> /t/ | /k/ | /h/ |
| :--- | :--- | :--- | :--- |
| $/ \mathrm{m} /$ | /tama/ ('ball, bead') | /kama/ ('pot, kettle') | /hama/ ('beach') |
| /n/ | /tana/ ('shelf, rack') |  | /hana/ ('‘flower') |
|  |  | /hana/ ('nose') |  |
|  | /tana/ (pseudo) | /kana/ (pseudo) | /hana/ (pseudo) |
| /w/ |  | /kawa/ ('river') |  |
| /t/ | /kata/ ('shape, form') | /hata/ ('field, croft') |  |
|  |  | /kata/ ('type, model') | /hata/ ('flag') |
|  |  | /kata/ ('side, style') |  |
|  |  | /kata/ ('shoulder') |  |
| /r/ | /tara/ ('codfish') | /kara/ ('shell, hull') | /hara/ ('field, plain') |
|  | /tara/ (pseudo) | /kara/ (pseudo) | /hara/ (pseudo) |

The participants looked at the two readings in paper, and judged which of the unvoiced or voiced sound would be appropriate on the basis of a 4-point scale.

## 3 Survey results

The ratios of CIOV obtained from the participants' answers are shown in Table 4. In comparison with those provisional compounds, the data for common nouns appearing in general magazines (NINJAL, 2006) and dictionary entries (Yamada et al., 2012) are added on the right-hand-side columns. Those text data agree with the speakers' cognition (Asai, 2016).

[^1]The number of decimal places indicates the degree of accuracy of the data. The cell with dashes are not applicable conditions.

Table 4. Ratios of CIOV

| Final morpheme (Real morpheme) | Provisional compound |  |  | Real compound |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | /u/ | /u/([n]) | / $\mathrm{N} /$ | Magazine | Dictionary |
| /tama/ ('ball, bead') | 0.69 | 0.68 | 0.64 | 0.82 | $0.74{ }^{4}$ |
| /tana/ ('shelf, rack') | 0.78 | 0.82 | 0.83 | 1.0 | 1.00 |
| /tara/ ('codfish') | 0.69 | 0.72 | 0.72 | - | - |
| /kama/ ('pot, kettle') | 0.70 | 0.68 | 0.70 | 1.0 | 0.82 |
| /kawa/ ('river') | 0.54 | 0.57 | 0.67 | 0.8 | 0.6 |
| /kata/ ('shape, form') | 0.61 | 0.66 | 0.77 | 0.88 | 0.90 |
| /kata/ ('type, model') | 0.73 | 0.81 | 0.75 | 1.00 | 1.00 |
| /kata/ ('side, style') | 0.55 | 0.55 | 0.72 | 0.38 | 0.25 |
| /kata/ ('shoulder') | 0.58 | 0.55 | 0.62 | 0.0 | 0.7 |
| /kara/ ('shell, hull') | 0.69 | 0.67 | 0.57 | 1.0 | 1.00 |
| /hama/ ('beach') | 0.34 | 0.35 | 0.36 | 0.0 | 0.0 |
| /hana/ ('flower') | 0.68 | 0.65 | 0.82 | 1.00 | 0.95 |
| /hana/ ('nose') | 0.70 | 0.73 | 0.79 | 0.5 | 0.82 |
| /hata/ ('field') | 0.53 | 0.52 | 0.66 | 0.0 | 0.3 |
| /hata/ ('flag') | 0.50 | 0.50 | 0.70 | 0.0 | 0.3 |
| /hara/ ('field, plain') | 0.51 | 0.51 | 0.73 | 0.3 | 0.29 |
| Pseudo-morpheme | Pseudo-compound |  |  |  |  |
| /tana/ (pseudo) | 0.73 | 0.72 | 0.78 | - | - |
| /kana/ (pseudo) | 0.58 | 0.60 | 0.57 | - | - |
| /hana/ (pseudo) | 0.73 | 0.73 | 0.65 | - | - |
| /tara/ (pseudo) | 0.68 | 0.75 | 0.62 | - | - |
| /kara/ (pseudo) | 0.61 | 0.68 | 0.60 | - | - |
| /hara/ (pseudo) | 0.69 | 0.50 | 0.82 | - | - |

## 4 Discussions

### 4.1 General discussions

The higher the ratios of CIOV for the final morphemes, for example /tana/ 'shelf, rack' and /kata/ 'type, model', in the real compounds, the higher those for the same morphemes in the provisional compounds as shown in Table 4 and Fig. 1. That correspondence suggests that the sound forms are fundamentally internalized in the speakers' mental lexicon (Aitchison, 2003; Foster, 1976). Next, the ratios of CIOV for the pseudo-morphemes composing the pseudocompounds exhibited the moderate values except for the /N/+/hara/ condition.

[^2]
(Closed circles: post-nasal voicing; diamonds: following [n]; open squares: other conditions)
Fig. 1. Ratios of voicing in phonotactic conditions

### 4.2 Psychological interpretations

For pseudo-words, in other words unknown words, speakers will first apply a central scheme of CIOV on the noun category. That holistic employment appeared in a voicing ratio of about 0.70 , which nearly equals a mean value on nominal compounds. At the next stage, speakers will apply a scheme of CIOV on the known final morpheme. That orientation emerged in the voicing ratios from 0.34 to 0.83 in the test format as shown in Table 4.

As widely observed, once an infant notices the voicing in question, he or she often starts to overuse the voicing. In the course of time, the young child understands which words should receive the voicing. As a speaker builds a vocabulary and develops the meta-knowledge of CIOV, the speaker refines the voicing to known words and may apply his or her style to new words (Asai, 2015).

Some real morphemes are asymptotically saturated to a high or low ratio of voicing. In this case, phonological factors will not appear accordingly. In contrast, for a new compound whose final element is a productive morpheme, the ratio of voicing will unlikely converge into a high or low value (Zamma \& Asai, 2015).

### 4.3 Phonological accounts

Some morphemes starting mainly in /h/ and employing a relatively low or mid ratio of voicing showed higher ratios of voicing when following the uvular nasal. ${ }^{5}$ This suggests a phonological similarity and tight connection between $/ \mathrm{m} /$ that mutates from $/ \mathrm{N} /$ by retrogressive place assimilation and $/ \mathrm{b} /$ at the identical labial place with a small degree of

[^3]freedom of articulation (Asai, 2014). Such a variant sequence appears extensively wordinternally in the lexicon, for example /to(m)bi/ 'black kite,' $\mathrm{ka}(\mathrm{m}) \mathrm{ba} /{ }^{\prime}$ 'birch tree,'/go(m)bo/ 'burdock root,' and $/ \mathrm{ko}(\mathrm{m}) \mathrm{bu} /$ 'kelp.' Likewise, that stable sequence can attract the occurrence of CIOV. On the other hand, the latter part of a double vowel originating from the velar nasal did not enhance the degree of voicing when the vowel was located before the boundary because of the phonologically unstable velar nasal. Simulations modeled with genetic algorithm, where voice feature crossover and mutation probabilities, compound creations, and occurrence frequencies were empirically set, supported a strong effect on the post-nasal voicing.

## 5 Concluding remarks and pedagogical implications

The present study presented one pattern that post-nasal voicing likely applies to some morphemes starting in $/ \mathrm{h} /$ and possessing a relatively low degree of voicing. Educators will derive a benefit in knowing about what words likely receive the voicing, how phonological properties work with voicing in forming compound terms, and how readings are extracted from the speakers' mental lexicon.

## References

Aitchison, J. (2003). Words in the mind: An introduction to the mental lexicon ( $3^{\text {rd }}$ ed.). Oxford, UK: Blackwell.

Asai, A. (2014). Nanon-ka toshite no rendaku to ruiji gensyo (Rendaku and related phenomena as lenition). Paper presented at the December meeting of the Tokyo Circle of Phonologists, the University of Tokyo.

Asai, A. (2015). Rendaku ni okeru tenkeisei eno sansyousei (Typicality reference in Rendaku). Lexicon Festa 3, February, NINJAL, Tokyo.

Asai, A. (2016). Sogai-on ni taisuru yuuseisei handan no kahenteki tekiyou: Koukougai shikei masatsuon no rendaku. In Yuka Ishikawa (Ed.), Gengokenkyuu to ryouteki apuroochi (pp. 12-18) Tokyo: Kinseido.

Forster, K. I. (1976). Accessing the mental lexicon. In R. J. Wales \& E. Walker (Eds.), New approaches to language mechanisms (pp. 257-287). Amsterdam: North-Holland.

Kubozono, H. (1999). Gendai gengogaku nyuumon 2 Nihon-go no onsei. Tokyo: Iwanami.
Itō, J., \& Mester, R. A. (1995). Japanese phonology. In J. A. Goldsmith (Ed.), The handbook of phonological theory (pp. 817-838) Cambridge, MA \& Oxford, UK: Blackwell.

NINJAL. (2006). Gendai nihongo ni okeru kakikotoba no jittai kaimei to zasshi koopasu no kouchiku ni okeru gendai zasshi 200 manji gengo tyousa ni yoru 200 manji gengo tyousa goihyou. Tokyo: NINJAL.

Vance, T. J. (1987). An introduction to Japanese phonology. NY: State University of New York Press.

Vance, T. J. (2015). Rendaku. In H. Kubozono (Ed.), The handbook of Japanese phonetics and phonology (pp. 397-441). Berlin: Mouton de Gruyter.

Vance, T. J., \& Asai, A. (2016). Rendaku and individual segments. In T. J. Vance \& M. Irwin (Eds.), Sequential voicing in Japanese: Papers from the NINJAL research project (pp. 107-118). Amsterdam: John Benjamins.

Yamada, T., Takeshi, S., Sakai, K., Kuramochi, Y., Yamada, A., Uwano, Z., Ijima, M., \& Sasahara, H. (Eds.) (2012). Shin meikai kokugo jiten (Dai 7 han). Tokyo: Sanseido.

Zamma, H., \& Asai, A. (2015). Sei ni okeru rendaku: akusento oyobi zenbu-youso yuusei sogai-on tono kakawari-no hyouka. Lexicon Festa 3, February, NINJAL, Tokyo.


[^0]:    ${ }^{1}$ Portion of the present study is the work of the NINJAL rendaku Project. An earlier version of this paper was presented at the April meeting of the Tokyo Circle of Phonologists in 2016. The author thanks the participants for their insightful comments. The author is solely responsible for any oversights or errors which may remain.

[^1]:    ${ }^{2} \mathrm{C}$, V, and N stand for consonant, vowel, and uvular nasal, respectively.
    ${ }^{3}$ The + mark shows the morpheme boundary in a compound.

[^2]:    ${ }^{4}$ The ratio of post-nasal voicing is 0.75 , and that of non-nasal conditions is 0.88 . In larger dictionaries which include old terms, those ratios are 0.80 and 0.81 , respectively.

[^3]:    5 For another particular instance, the surnames whose final morpheme is /hara/ 'field, plain' exhibits a ratio of post-nasal voicing of 0.93 . In contrast, the ratio of voicing is 0.21 when the final morpheme onset is followed by the velar-nasal-originating vowel. It is 0.09 when the onset is followed by the other vowels.

